

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 6197775   |
| <b>Application Number:</b>                  | 10766965  |
| <b>International Application Number:</b>    |   |
| <b>Confirmation Number:</b>                 | 4387  |
| <b>Title of Invention:</b>                  | System and method for avoiding transaction costs associated with trading orders |
| <b>First Named Inventor/Applicant Name:</b> | Thomas J. Daley   |
| <b>Customer Number:</b>                     | 63710   |
| <b>Filer:</b>                               | Glen Farbanish/Michael Brinton  |
| <b>Filer Authorized By:</b>                 | Glen Farbanish  |
| <b>Attorney Docket Number:</b>              | 04-6175   |
| <b>Receipt Date:</b>                        | 02-OCT-2009   |
| <b>Filing Date:</b>                         | 29-JAN-2004   |
| <b>Time Stamp:</b>                          | 22:59:44  |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)   |

### Payment information:

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|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$1030          |
| RAM confirmation Number                  | 4860            |
| Deposit Account                          | 503938          |
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## File Listing:

| Document Number              | Document Description    | File Name                       | File Size(Bytes)/ Message Digest                    | Multi Part /.zip | Pages (if appl.) |
|------------------------------|-------------------------|---------------------------------|---|------------------|------------------|
| 1                            | Appeal Brief Filed      | 04-6175_091002_Appeal_Brief.pdf | 284811<br>3c211b6d05f5737561a181ed3170c1c7106a8bc9f | no               | 58               |
| Warnings:                    |                         |                                 |   |                  |                  |
| Information:                 |                         |                                 |   |                  |                  |
| 2                            | Extension of Time       | 04-6175_091002_PT_Ext_Time.pdf  | 71054<br>7a7b900cc0bde80b3885e4900cdeb323ac77d023d  | no               | 1                |
| Warnings:                    |                         |                                 |   |                  |                  |
| Information:                 |                         |                                 |   |                  |                  |
| 3                            | Fee Worksheet (PTO-875) | fee-info.pdf                    | 31626<br>874461a488b7c22a1d6f70ba7c7825ac20392712   | no               | 2                |
| Warnings:                    |                         |                                 |   |                  |                  |
| Information:                 |                         |                                 |   |                  |                  |
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.